ORIGINAL ARTICLE

Prognostic significance of SOX2 and GPC3 in Ameloblastoma and its malignant counterpart (**Ameloblastic Carcinoma**)

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ABSTRACT

Background: Ameloblastoma is a common benign aggressive odontogenic tumor with a tendency for high recurrence rate. Ameloblastic Carcinoma is the malignant counterpart of Ameloblastoma. However, they are usually difficult to be distinguished from one another. Therefore, using Immunohistochemical markers might be beneficial for diagnosing them accurately.

Objective: Evaluation of SOX2 and GPC3 expressions as well as evaluating their roles in the tumorigenesis and the biological behavior of Ameloblastoma and Ameloblastic Carcinoma.

Methods: Tissue samples are composed of 34 archived histopathologically confirmed cases of (19 Conventional Ameloblastomas, and 15 Ameloblatic Carcinomas). Sections were subjected to Immunohistochemical staining according to a standard protocol by applying antibodies to SOX2, and GPC3.

Results: SOX2 and GPC3 expressions in recurrent Ameloblastoma were significantly higher than non- recurrent cases. Ameloblastic Carcinoma showed the highest immune-reactivity to SOX2 and GPC3 compared to the Conventional Ameloblastoma. Desmoplastic Ameloblastoma showed the highest scores of SOX2 and GPC3 compared to the other subtypes.

Conclusions: SOX2 and GPC3 can be used as a panel for diagnosing the aggressive and the malignant odontogenic tumors accurately. Desmoplastic Ameloblastoma behaves more aggressively than other Conventional Ameloblastoma subtypes.

Key Words: Ameloblastoma, Ameloblastic Carcinoma, Immunohistochemistry, SOX2, GPC3

1. INTRODUCTION

Odontogenic tumors (OTs) are a group of various lesions that range from hamartomatous to malignant. These tumors have various clinical behaviors and histopathological types.^[1] Ameloblastoma (AB) is the commonest benign OT. It is a local aggressive tumor originating from the epithelium with a high recurrence rate and a liability for malignancy changes as well as metastasis.^[2] Ameloblastic Carcinoma (AC) is rare and is more aggressive malignant OT than AB. It has malignant histopathological characters such as: cytological atypia, necrosis and clear cells along with the benign histological features of AB even in the absence of metastasis.^[3] To date, the exact incidence rate of AC is still unknown and a relatively few cases have been reported. Moreover, many cases have been misdiagnosed as AB.^[4] Therfore, specific immunohistochemical (IHC) markers are needed.

The progression of these tumors involves a series of genetic and molecular alterations that are still not clear. This may be

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attributed to the presence of cancer stem cells (CSCs).^[5,6] CSCs are involved in the initiation of metastasis and are responsible for therapeutic resistance. As a consequence, they promote tumor progression and recurrence.^[7] SOX2 is a transcription factor which is considered as a subpopulation of stemness-related genes.^[8]

SOX2 protein has a role in pluripotency maintenance of embryonic stem cells (ESCs).^[9] It is involved in the development of ectoderm in early ESCs stages,^[10] from which the odontogenic epithelium originates.^[11] SOX2 has a role in tumorigenesis of different types of human cancers^[12, 13] and OTs.^[14]

GPC3 is a member of the glypican family (GPC1 to GPC6) that gets attached to the cell's surface. It contains 14 highly conserved "cysteine residues".^[15] It has a role in cell growth and differentiation.^[16] GPC3 is a potential liver cancer therapeutic target as it is highly expressed in Hepatocellular Carcinoma (HCC).^[17] Furthermore, it is found in different types of human Cancers.^[18] However, the significance of GPC3 as a diagnostic and prognostic marker for human tumors other than HCC is still unclear.

2. MATERIAL AND METHODS

2.1 Tissue samples

This study was retrospectively applied on 34 formalin fixed and paraffin embedded tissue blocks (19 Conventional ABs and 15 ACs). These blocks were collected between the years 2009 and 2019 from archives of oral pathology labs, Faculties of Dentistry; Mansoura University and Alexandria University. Tooth germ tissue was used as a normal tissue control. SOX2 positive tissue control was Squamous cell carcinoma (SCC). GPC3 positive tissue control was HCC. This work was approved by the local Ethics Committee in Research, faculty of Dentistry, Mansoura University, Egypt (Code Number A04260219).

2.2 Immunohistochemical markers

Universal Kit: Power Stain TM 1.0 Poly HRP DAB Kit for Mouse & Rabbit. SOX2 antibody [EP103] (AN833-5M; BioGenex, USA); Primary Rabbit Monoclonal antibody (ready to use). Anti-Glypican-3 [GPC3-88] (AM539-5M; BioGenex, USA); Primary Mouse Monoclonal Antibody (ready to use).

2.3 Methods

Available Clinical and radiographical data was retrieved from the patients' files. Four serial tissue sections were cut at 4 μ thickness (one section for H&E staining to confirm diagnosis according to the WHO Histopathological Classification of OTs^[19] and three sections were mounted on positively charged glass slides for assessment of SOX2 and GPC3 expressions according to their manufacturer's instructions).

Sections were deparaffinized in xylene and rehydrated in alcohol with descending concentrations. Antigen retrieval was performed. Slides were immersed in citrate buffer PH6 (10 minutes), heated, blocked (30 minutes) with 1.5% horse serum "Santa Cruz Biotechnology" and finally were diluted in phosphate buffered solution (PBS).

Incubation of monoclonal primary antibodies was done at room temperature (45 minutes). Two drops of the following antibodies were used: anti-(SOX2, and GPC3). Slides were washed with PBS (3 minutes) twice, treated with 4-5 drops of "Ultra Vision biotinylated goat anti-polyvalent secondary antibody" (10 minutes), and then were washed in PBS (3 minutes). Slides were treated with streptavidin–biotin enzyme reagent "DAKO, Denmark" (10 minutes) and rewashed in PBS (3 minutes).

Drops of "3.3-Diaminobenzidine tetrahydrochloride" (DAB) were applied as a chromogen for color development. Slides then, were incubated (10 minutes) and then washed with PBS (3 minutes). Sections were counterstained with Mayers hematoxyline and were fixed using xylene-based mounting medium (3 minutes). Positive immune deposits were detected as brown spots.

2.4 Evaluation

Evaluation was assessed according to the area of positive cells' staining. Each slide was examined under a light microscope, five selected fields of tissue sections were evaluated at $400 \times$ magnifications. SOX2 expression was considered positive when brown nuclear staining of the tumor cells was seen. Expression percentages were graded from 0 to 4 according to the following levels: 0%, 1%-25%, 26%-50%, 51%-75% and 76%-100%. Intensity was recorded from 0 to 3 representing: Negative, Weak, Moderate and Strong respectively. The percentage and intensity scores were then added to obtain a total score. The final score ranged from 0 to 7. Expression were categorized into 1 of 3 groups: (0) Negative = 0 point, (1) Low = 1-3 points, and (2) High = 4–7 points.^[20]

GPC3 expression was considered positive when brown cytoplasmic staining of the tumor cells was detected. Expression percentages were graded from 0 to 4 according to the following levels: 0%, 1%-25%, 26%-50%, 51%-75% and 76%-100%. Intensity was recorded from 0 to 3 representing Negative, Weak, Moderate and Strong. Percentage and intensity scores were then multiplied to obtain a total score that ranged from; (0) Negative < 3, (1) Low = 3, and (2) High = at least 4.^[20]

2.5 Statistical analysis

It was performed by SPSS program version 16, IBM Corporation. Non parametric Data were compared by Mann Whitney U test (for two groups of data) and Kruskal Wallis test (For more than two groups of data). Spearman's correlation coefficient test was used to correlate different parameters. *P* values were considered statistically significant if they were equal or less than .05.

3. RESULTS

Mean age in patients with AC (M = 45.5) that ranged from 25 to 64 was higher than the mean age of AB patients (M =

35. 3) that ranged from 11 to 60. Males were more frequently observed than females. They represented 58.6% of AB cases and 73.3% of AC cases. Most of the studied groups were restricted to the posterior mandible.

Radio-graphically, most of the studied AB cases 86.2% appeared as well-defined multilocullar radiolucency, often without cortical perforation. While, 80% of AC cases appeared as ill-defined multilocullar radiolucency and more than half of the cases 53.3% showed cortical perforation.



Figure 1. Photomicrographs showing (A) Conventional AB (Plexiform) showing: continuous anastomosing strands of peripheral epithelial cells (blue arrow) and central Stellate R.T like cells (black arrow) (H&E \times 100). (B) Follicular Ameloblastoma case: the epithelial cells are arranged in islands (follicles) (H&E \times 200). (C) Ameloblastic carcinoma case showing: the outer palisading cells lost their polarity and tend to be cuboidal in shape (red arrow), Cellular atypia (black arrow) and clear cells (blue arrow) (H&E \times 400). (D) Ameloblastic Carcinoma case showing: necrotic area (blue arrow), hemorrhage (green star) and undifferentiated area (red star) (H&E \times 100).

Histologically, the studied cases were classified into19 Conventional ABs, and 15 ACs. Out of 19 Conventional ABs; 4 different histological subtypes were presented as the following percentages: 8 Follicular (42.10%), 6 Plexiform (31.50%), 3 Desmoplastic (15.7%) and 2 Acanthomatous (10.5%) (see Figure 1A&B). Three cases were recorded in patients' data sheets as recurrent cases. AC cases were formed of benign ameloblastomatous components which were invaded by malignant features such as: loss of normal cellular architecture, excessive hemorrhage, cellular atypia, mitotic activity, nuclear hyper chromatism, basilar hyperplasia, vas-

cular invasion, clear cells and focal areas of necrosis (see Figure 1 B&C).

SOX2 expression in AB was detected in 47.5% (9 out of 19 cases). High positive reactions represented 55.55% (5 cases) and 44.45% (4 cases) represented low positive reactions. Expression appeared in the nucleus of the outer basal epithelial cells of the neoplastic follicles and its presence ranged from weak to absent in the center. Moreover, infrequent stromal nuclear/cytoplasmic stromal reactions were also observed surrounding the neoplastic epithelial follicles (see Figure 2A).



Figure 2. photomicrographs showing: (A) SOX2 nuclear immune expression in a Follicular AB case in the outer palisading cells of a cystic follicle which decreased gradually inside and a slight stromal reaction surrounding the follicle (SOX2, PAP-DAB \times 400). (B) Ameloblastic carcinoma case showing: high positive SOX2 nuclear and cytoplasmic reaction in undifferentiated area (SOX2, PAP-DAB \times 100). (C) Desmoplastic AB case showing: high cytoplasmic GPC3 immune reaction in the epithelial cells and in the CT (GPC3, PAP-DAB \times 100). (D) Ameloblastic Carcinoma cases showing: diffuse GPC3 immune reaction (GPC3, PAP-DAB \times 100).

Furthermore, SOX2 expression in AC was found in 93.3% (14 cases out of 15 AC cases). 57.2% (8 cases) were scored high positive and 42.8% (6 cases) were scored low positive. It was a focal nuclear and cytoplasmic reaction near the tumor peripheries and in the areas that lost their normal cellular architecture. Stromal cytoplasmic reaction was observed as well (see Figure 2B).

GPC3 reaction in AB was observed in most of the cases 78.9% (15 cases). High positive scores were recorded in 47.5% (9 cases) and low positive scores were recorded in 31.5% (6 cases). It appeared in the cytoplasm of the outer palisading cells with variable expression inside the tumor follicles (see Figure 2C). Limited patchy nuclear expression was rarely observed.

Moreover, all the studied 15 AC cases exhibited diffuse GPC3 cytoplasmic/nuclear reactions in the tumor follicles

and in the CT with weak staining in the center (see Figure 2D). High positive reactions were recorded in 53.3% (8 cases) and 46. 7% (7 cases) were recorded as low positive.

Statistical analysis of SOX2 and GPC3 expressions among the studied cases revealed no significant differences between these markers and the clinical data [age, sex, site, radiographic appearance, and cortical perforation] (p > .05).

Moreover, SOX2, and GPC3 were highly expressed in Desmoplastic AB in comparison with other AB subtypes without significant difference (see Table 1A). Furthermore, they were highly expressed in recurrent AB cases with statistically significant difference than non-recurrent ones (see Table 1B). In addition, AC had the highest scores in SOX2 and GPC3 when compared to AB with a significant difference (see Table 1C).

Table 1. SOX2 and GPC3 expressions in regard to (Conventional Ameloblastom subtypes), (Recurrence variable in Ameloblastom), and (Conventional Ameloblastoma and Ameloblastic carcinoma)

			Sox2 Expression		GPC3 Expression	
			Mean Rank	р	Mean Rank	р
A	Con.AB Subtypes	Follicular	9.44		9.33	
		Acanthomatous	13.00	.07	10.00	00
		Desmolastic	15.25		11.12	.99
		Plexiform	6.75		9.92	
В	Recurrence in AB	Yes	27.00	.003**	24.50	02*
		NO	13.26		13.90	.02
С	Con. AB		17.79	001**	19.57	02*
	AC		31.60	.001	28.17	.02

Note. A-Test Used: Kruskal Wallis test; B, C -Mann Whitney test; *p: significant at < .05; **p: significant at < .01

Table 2. Correlations of SOX2 and GPC3 among the studied cases! Ameloblastoma and Ameloblastic carcinoma)

	Con	n. AB	AC		
	r	р	r	р	
SOX2 exp. Vs. GPC3 exp.	0.245	.067	0.473	.001**	

Note. Test Used: Spearman Correlation test; ** p: significant at < .01

Investigation of the correlations among the studied groups revealed a high direct positive correlation with a significantly high difference between the expressions of SOX2 and GPC3 only in AC (see Table 2).

4. DISCUSSION

Odontogenic tumors originate from the tissues of teeth forming apparatus due to the different degrees of inter-tissue interactions and the various growth patterns.^[22] Clinically and radio-graphically; both AB and AC are highly similar. However, AC can be expected if there are uncommon features of AB such as: rapid onset of swelling, pain, paresthesia and perforation of the cortical plate.^[23]

In the present study, males were more affected than females in both AB and AC. This was in accordance with previous studies.^[23–27] Smoking induces oral cancer risk development^[28] Cigarettes contains N-nitrosamines and nicotine which lead to DNA mutations. This mutation encourages tumor initiation and promotion. Moreover, about sixty known carcinogens have been identified in cigarette smoke that are involved in cancer.^[29] Smoking is a very common habit of males especially in our society. This supports these study results. To the contrary, equal gender distribution in AB was recorded previously.^[30] This reveals gender varieties in different populations.

Regarding the age distribution, mean age of the current AB cases was 35.28 which is in line with the findings of Hendra et al., 2020.^[24] Moreover, the mean age of the currently studied AC cases was 45.53. That is similar to the findings of Pandey et al., 2018 and Noureldin and Ragab. 2019.^[23,31] Aging may predispose to cancer due to the disturbances in immune and endocrine systems. It is also associated with variations in molecular, cellular and systemic levels that influence carcinogenesis and subsequent cancer growth. Moreover, prolonged exposure to environmental carcinogene along the life span increases the liability for tumors.^[32] This may explain why AC patients usually have a higher age range than benign AB as was presented in this research.

Previous reports, stated that the posterior mandible was the most common site similar to this study findings.^[30, 33, 34] The posterior mandible is the most susceptible site because of its rich blood supply^[35] which is important for preserving tumor growth as it supplies tumors with their needs of oxygen and nutrients. Moreover, it provides a suitable path for tumor metastasis.^[36]

Regarding the radiographic appearance, most of the currently studied AB cases appeared as well-defined multilocular radiolucency without cortical perforation. In addition, all AC cases had a more aggressive pattern with ill-defined margins and a destructed cortical plate. These findings were similar to the radiographic picture identified by the WHO in 2017.^[37] These findings reflect the variations in the biological behavior of these lesions (benign and malignant) indicating the importance of radiographing in predicting the correct diagnosis.

This study has found histologically that the follicular pattern was mostly observed followed by the Plexiform pattern. However, the Acanthomatous one was rare. Similar observations were reported previously.^[24, 38] To the contrary, the Plexiform pattern was the commonest type as was reported by Martínez et al., 2017.^[27]

In agreement with previous researches,^[23,29] benign features of AB were commonly seen in the current studied AC cases. Moreover, malignant diagnostic components such as: loss of normal cellular architecture, excessive hemorrhage, cellular atypia, mitotic activity, nuclear hyperchromatism, vascular invasion, clear cells and focal areas of necrosis were also reported in different previous studies.^[27,33,40,41]

SOX2 protein has significant roles in regulating stem cell characters.^[42] Furthermore, it has been linked to the process of tumorigenesis, destructive clinical course and poor prognosis.^[43] SOX2 wasn't found in most of the currently studied AB cases, the same as the findings of Lei et al., 2014 and Silva et al., 2020.^[44,45] On the other hand, a recent study by Pagella et al.,2020^[46] has documented that SOX2 was highly expressed by AB. Moreover, Banerjee et al., 2016^[47] stated a total absence of SOX2 in all their studied AB cases. These conflicting results could be explained on the basis of a recent study on the genetic alterations of AB^[48] which found multiple gene mutations only in European patients except the Turkish ones. These finding suggested differences in genetic backgrounds defining different mutational spectra.

Moreover, Chang et al., 2019^[49] found that SOX2 expression was significantly higher in ABs with mutated BRAF than that with non-mutated ones. They suggested that mutated BRAF may encourage the expansion of SOX2 positive cells. Hence, further studies are needed to clearly approve these results.

SOX2 expression in AB was mainly nuclear in agreement with previous studies^[20,44] In AC, it was always nuclear and cytoplasmic as was reported in a recent study by Silva et al., 2020.^[45] Van Schaijik et al.,2018^[50] mentioned that SOX2 showed both nuclear and cytoplasmic expressions in a range of cancers, suggesting a function dependent on its subcellular kinetics. This might be related to differentiating potentials. Therefore, the cytoplasmic expression of SOX2 could be an indicator for more tumor aggressiveness.

Overexpression of SOX2 was correlated to the recurrence and the metastasis in head and neck SCC as well as to the presence of CSCs.^[9,51] In the present study, SOX2 was strongly observed in all the recurrent AB specimens with a significant difference when compared with non-recurrent ones. This might contribute to the formation of recurrent and more aggressive ABs and strongly suggests the presence of CSCs. This was in agreement with Sanjai et al., 2018^[52] who suggested that SOX2 overexpression in recurrent AB can be used to follow-up the patients.

Distinguishing between AC and aggressive AB is challenging. Because AC has the benign features of AB in addition to the malignant parts. In this study, almost all AC cases were SOX2 positive in agreement with previous studies.^[14,52] This strongly suggests the presence of more CSCs in AC which promotes the aggressiveness and progression of it. Moreover, SOX2 protein wasn't found in most of the studied AB cases as they behave less aggressively than AC. This is similar to the findings of Silva et al., 2020.^[45] According to the current study results, SOX2 was higher in AC than was in AB with a significant difference in line with the findings of previous studies.^[20,44,52] Therefore, SOX2 expression may be related to the degree of tumorigenesis in OTs and could be a beneficial marker in detecting areas undergoing malignant changes in AB.

Glypicans are heparin sulfate proteoglycans (HSPG), it can act as co-receptor to regulate cell proliferation, division, and motility.^[53] Any deletion or mutation of GPC3 can disturb the biological balance between proliferation and apoptosis that ends to tumorigenesis.^[54]

In specific considerations, only two studies^[21,55] in English literature have investigated GPC3 in OTs. They found GPC3 immune staining in the cytoplasm/membranes of the tumor cells the same as this study. This expression pattern depends on the nature of the glypicans members as they are attached to the plasma membrane glycosyl- phosphatidylinositol linkage. They are also present in the cytoplasm and can be secreted to the ECM through the action of notum.^[56]

In this study, GPC3 was highly expressed in all the recurrent AB specimens with a significant difference than nonrecurrent ones. This suggests that GPC3 overexpression provides an idea about the aggressiveness degree of such a tumor. It may as well act as a prognostic factor for recurrence in OTs. Studies on HCC^[57–59] correlated high GPC3 expression to a high recurrence rate. However, similar studies on OTs aren't applied till now. Hence, future studies on a large number of samples are required to evaluate the actual role of GPC3 and its relation to recurrence in ABs.

This is the first study in English literature investigating GPC3 expression in AC. It exhibited higher expression in AC than was in AB with a significant difference. A recent study by Azadeh Tadbir et al., $2019^{[54]}$ on salivary gland tumors has documented higher levels of GPC3 in benign tumors than normal tissues and observed overexpression of GPC3 in malignant tumors than in benign ones. Moreover, abundant expression has been documented in different malignant types

of tumors,^[18,60,61] which indicates its role in the carcinogenesis and the more aggressiveness behavior. However, additional future studies on OTs are needed in this context to stand on its actual role in these tumors.

In addition, GPC3 expression was observed in fibroblasts nearest to the tumor cells in both AB and AC. GPC3 acts as a co-receptor for basic growth factors such as fibroblast growth factor2 through its HSPG chain.^[62] This suggests that GPC3 might involve in the storage of heparin-depending growth factors, which are released by the heparanase at the beginning of infiltration , invasion and induce mitogenic stimulation of cancer cells as was mentioned by Gómez-Herrera et al., 2018.^[56]

The current work has evaluated the expression of SOX2 and GPC3 markers according to the histological patterns of Conventional AB. The current study found that the Desmoplastic subtype had the highest levels of SOX2 and GPC3 than the other subtypes without a significant difference. These findings could be due to the more aggressive nature of the Desmoplastic type as was mentioned by Ranjan et al., 2019.^[63] In addition, these results also suggest the presence of more numbers of stem cells than other subtypes. However, the published data about Conventional AB subtypes regarding the proliferative and invasiveness indexes are still very controversial.

Finally, the current work has explored the correlations between these markers and the clinical data in the studied groups. No significant differences were detected with any of them. This is attributed to the small sample size of this research. Hence, it's better to investigate their correlations with the clinical data on a larger number of samples. Moreover, direct positive correlation between their expressions was detected in AC. This correlation might allow using them as a panel to diagnose AC rather than the aggressive AB.

CONFLICTS OF INTEREST DISCLOSURE

The authors declare no conflict of interest.

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