ORIGINAL ARTICLE

Effect of implementation of a rapid response system on protocol adherence in a surgical ward

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Abstract

Objective: To describe the implementation of a rapid response system and adherence to its afferent limb in order to identify key elements for improvement.

Implementation: We developed a multifaceted implementation strategy to introduce the Rapid Response System (RRS) on a 60-bed surgical ward of a university hospital. The strategy included the use of clear objectives, key leaders, an early warning score (EWS) observation protocol and a two-tiered medical emergency team (MET) warning protocol, a 1-day training program including a before-after knowledge test, mandatory for nurses and optional for ward physicians, reminders and feedback.

Study design and methods: We retrospectively analyzed a sample of 10,653 patient days and 101 medical records of patients with a serious adverse event (SAE). Outcome measures were EWS recording rates, the nurse to ward physician and the ward physician to the MET calling rates following abnormal EWS recordings, and the indicators triggering these calls.

Results: EWS recordings were present in 90% of the day shifts, 88% of the evening shifts and 80% of the night shifts. EWSs were recorded at least once in 92/101 medical records in the three days before an SAE; in 91/101 records EWSs were abnormal at least once. In case of an abnormal score, the nurse called the ward physician once or more in 87% (79/91). After being called by the nurse, the ward physician called the MET once or more in 75% (59/79). However, in 18% (15/79) there was a delay of one or two days before the ward physician/MET was called. Overall, medical emergency team calls were absent or delayed in over 50%.

Conclusions: After RRS implementation, recording of the EWS was high. Adequate warning in case of abnormal scores was suboptimal in nurses as well as ward physicians. Future implementation strategies should therefore be aimed at the interdisciplinary team.

Key words

Hospital rapid response team, Evaluation studies, Safety management, Surgery department

1 Introduction

Most patients experience physiologic instability up to 48 hours prior to a serious adverse event (SAE) ^[1-4]. These warning signs are often not recognized or inadequately treated by the ward staff. Early recognition and treatment of abnormal vital signs is essential to prevent SAEs, such as cardiac arrest, death and unplanned intensive care unit (ICU) admissions. Based on these considerations the concept of the Rapid Response System (RRS) was developed ^[5]. An RRS consists of an afferent limb (detecting patients at risk and obtaining adequate help), an efferent limb (consisting of a dedicated rapid response team) and an administrative and data analysis limb. The RRS is highly recommended by the Institute for Healthcare Improvement ^[6] and implemented in many countries ^[7].

1.1 Background

Implementing an RRS is a complex process ^[8-12]. Even in matured RRSs, failure of the afferent limb is a persistent problem ^[13] which may result in cardiac arrests ^[14], hospital mortality ^[10, 15, 16] or increased unplanned ICU admissions ^[10, 17]. Until now, studies on the effects of an RRS remain equivocal ^[7, 18-20]. Failure of implementation may partly explain these results ^[8].

We implemented an RRS on the surgical ward and showed a statistically non-significant reduction in the number of cardiac arrests and/or unexpected deaths from 0.5% (7/1,376) before, to 0.25% (6/2,410) after implementation (odds ratio 0.43; 95% confidence interval 0.14-1.3) ^[21]. In contrast, the number of unplanned ICU admissions increased from 2.47% (34/1,376) before, to 4.15% (100/2,400) after implementation (odds ratio 1.66; 95% confidence interval 1.07-2.55). We concluded that the decrease in cardiac arrests and/or unexpected deaths was not statistically significant partly due to the low baseline incidence.

The aim of this study was to describe the strategy used to implement the RRS and to measure the adherence of the ward staff to its afferent procedure in order to identify key elements for further improvement.

1.2 Implementation

The RRS was implemented in a 60-bed surgical ward of a 960-bed university hospital in the Netherlands. Patients were admitted to the surgical ward for general surgery, including central or extensive peripheral vascular surgery, major oncologic surgery, lung surgery, extensive abdominal surgery, and trauma.

A multifaceted RRS implementation strategy was developed in 2006 and introduced between January and April 2007. The strategy included: setting clear objectives, appointing key leaders, introducing a tailored RRS procedure and a 1-day training program for nurses and ward physicians, and the use of follow-up reminders and feedback.

The objective of the RRS was to detect surgical patients at risk and treat them on the ward or assign them to a higher level of care in a timely manner. The implementation was supported by a group of key nurses and key physicians from the surgical ward and the ICU, and led by the project chair. The project chair was a research nurse from the intensive care. The key leaders developed an RRS adjusted to the hospital needs, based on the international consensus document on medical emergency teams (MET)^[5]. They informed the nursing and medical staff about the theory and purpose of the RRS during staff meetings, supported by written information.

The protocol for early detection of patients at risk includes observation of the early warning score (EWS) and documentation of the EWS in the daily patient charts by the nurses, three times a day. A single-parameter EWS was chosen, including the following criteria for abnormal vital signs: respiratory rate < 8 or > 30 per minute, O₂ saturation < 90%, systolic blood pressure < 90 mmHg or > 200 mmHg, heart rate < 40 or > 130 per minute, a decrease of two points in the eye, motor, verbal (EMV) score, or if the nurse felt worried ^[22].

The protocol for obtaining adequate help was two-tiered. In the first tier, nurses had to call the ward physician immediately if one of the EWS warning criteria was met. Ward physicians were junior doctors, present in the hospital 24/7. The ward physician had to evaluate the patient at the bedside within 10 minutes. In the second tier the ward physicians activated the MET immediately if a serious situation existed or if the patient did not stabilize after an initial intervention. The ward physician was included to maintain continuity of care and limit the workload of the MET, in accordance with regular practice in the Netherlands ^[23]. The MET consisted of a critical care physician and a critical care nurse from the ICU. The MET was available 24/7. Finally, the SBAR (situation; background; assessment; and recommendation), a standardized way of communicating in critical situations ^[24] was introduced in the protocol for both nurses and ward physicians.

A 1-day training program for nurses and ward physicians was developed, consisting of a theoretical part, a practical part focusing on the afferent procedure, and a discussion about ethical dilemmas related to the system. The practical part was a simulation-based training focusing on detection of the critically ill patient and communicating according to SBAR. The program was mandatory for nurses and optional for ward physicians. Approximately 90% of the nurses and 5% of the ward physicians attended the training. Nurses completed a knowledge test about the early warning score before- and after the training program. This knowledge test mainly included criteria for abnormal EWSs, and nurses could score a maximum of 100 points. Nurses completed the test during a team meeting within a period of two to four weeks before, and two to four weeks after the training program. In addition, nurses were asked to describe their perception of the RRS after having followed the training program in 56% (52/94). The score increased significantly from a median of 20 (IQR 10-30) to a median of 90 points (IQR 70-100, Mann-Whitney U 33, p < .001). Nurses' perception on the RRS was positive. In particular, nurses were convinced that the availability of a MET would positively influence the quality of care for critically ill patients.

Reminders shaped in pocket-sized, laminated cards with the EWS criteria, the SBAR communication scheme, and the MET beeper number was given to the ward staff. Posters with the EWS criteria and the MET beeper numbers also were displayed in the wards. In addition, extra pulse oxymetry monitors were available on the ward and the EWS criteria were printed on the daily patient charts.

Feedback was given by newsletters showing EWS recording rates on the daily patient charts, nurse to ward physician- and ward physician to MET calling rates, and the time-interval between calls and arrival of the ward physician/MET. Newsletters were published every two months for nurses and every six months for ward physicians. Furthermore, progress of the RRS was discussed during regular staff meetings and at a special meeting once a year.

2 Study design and methods

We performed a retrospective analysis of daily patient charts. Furthermore, we analyzed medical records of patients who experienced an SAE. The need for informed consent was waived by the Medical Ethics Committee of district Arnhem-Nijmegen (MEC number: 2005/310).

To measure the effectiveness of our implementation strategy on afferent protocol adherence, we used the following outcome measures: EWS recording rates on the daily patient charts, EWS recording rates in the medical records of patients with an SAE, the nurse to ward physician- and the ward physician to MET calling rates following abnormal EWS recordings and the indicators triggering these calls.

A EWS was defined as complete if all EWS criteria were recorded. An SAE was defined as an unplanned ICU admission from the ward, a cardiac arrest or an unexpected death. The nurse to ward physician calling rate was defined as the number of ward physician calls from the nurse, divided by the number of days one or more abnormal EWSs were recorded. The

ward physician to MET calling rate was defined as the number of MET calls from the ward physician divided by the number of days on which the ward physician was called by the nurse for an abnormal EWS.

We analyzed a sample of 10,653 patient days from 1,601 patients admitted at the ward between January 2008 to July 2009. Furthermore, we analyzed 101 medical records of patients who experienced an SAE between April 2007 and April 2009. Data were retrieved from the medical records starting two days before the day an SAE occurred, classified as day -2, day -1, and day 0. We retrieved information about the nurse to the ward physician calls from the medical records and information about the WET calls from the electronic MET registration database.

3 Results

3.1 EWS recording rates in the daily patient charts

Figure 1 shows the percentage of vital signs recorded during the daytime, evening and night. Complete EWS recordings were present in 90% of the day shifts, 88% of the evening shifts and 80% of the night shifts.



Figure 1. Percentage of vital signs recorded in the daily patient charts

Note. n = 10,653 patient days. $O_2 \text{ sat} = O_2 \text{ saturation, resp. rate} = \text{respiratory rate, syst.bp} = \text{systolic blood pressure, EMV} = \text{eye, motor, verbal score.}$

3.2 EWS recording rates in the medical records of SAE patients and the calling rates following abnormal EWS recordings

Table 1 shows the EWSs recording rates in the medical records before an SAE, stratified per day. EWS recordings increased from 58% (58/101) on day -2, to 86% (87/101) on day 0. Often no exact time indication was retrievable from the record.

Of the recorded EWSs, the percentage abnormal EWSs increased from 31% (18/58) on day -2 to 92% (80/87) on day 0. In case of observed abnormal EWSs, nurses called the ward physician in 61% (11/18) on day -2 to 88% (70/80) on day 0. After being called by nurses, ward physicians called the MET in 27% (3/11) on day -2 to 74% (52/70) on day 0.

8	8					
	Day-2		Day-1		Day 0	
	n	(%)	n	(%)	n	(%)
EWS recorded in medical records (% of SAEs)	58	(58)	75	(75)	87	(86)
abnormal EWS (% of recorded EWSs)	18/58	(18)	40/75	(53)	80/87	(92)
ward physician calls from the nurses (% of abnormal EWSs)	11/18	(61)	32/40	(80)	70/80	(88)
MET calls from the ward physician (% of ward physician calls in abnormal EWSs)	3/11	(27)	11/32	(34)	52/70	(74)

Note. n = 101 SAEs; EWS = early warning score; SAE = serious adverse event; day 2 = two days preceding the SAE, day 1 = one day preceding the SAE, day 0 = the day of the SAE; MET = medical emergency team

Figure 2 shows the EWS recordings in the medical records stratified per SAE. Recorded EWSs were abnormal at least once in 91% (91/101) in the three days before the event. In 87% (79/91) the nurse called the ward physician once or more. After being called by the nurse, the ward physician called the MET once or more in 75% (59/79). In 18% (15/79) the nurse or the ward physician tried to stabilize the patient on the ward during one or two days before calling the ward physician/MET. Overall, in 48% (44/91) of the SAEs with recorded abnormal EWSs, the MET was called on the same day the abnormal EWS was observed. Comparisons between the first and second year after RRS implementation showed no statistical differences in any of the outcomes (data not shown).



Figure 2. EWS recordings in the medical records and calling rates 3 days before an SAE, stratified per SAE

Note. SAEs = serious adverse events; EWS = early warning score.

3.3 Indicators triggering calls

Table 2 shows the nurse to ward physician and ward physician to MET triggering rate per vital sign. Abnormal EWSs were recorded in 46% (138/303) of the days before an SAE. In 72% (100/138) information was given on the vital signs triggering the call for help and a total of 122 abnormal vital signs were registered. In 7% (9/138) the nurse called the ward physician due to the worried criterion. In the remaining 21% (29/138) no information was available on which EWS criterion triggered the call.

Nurses called the ward physician less often in cases of decreased systolic blood pressure (62%) and decreased oxygen saturation (75%). Ward physicians called the MET less often in cases of decreased systolic blood pressure (56%), and increased heart rate (55%). Recorded vital signs tended to be worse on day 0 compared with day -2 and day -1, although the differences were not significant (data not shown).

Indicator	abnormal scores	ward physician calls	(%)	MET calls	(%)	% of abnormal scores
increased respiratory rate	32	29	90	22/29	76	69
decreased oxygen saturation	28	21	75	18/21	86	64
decreased systolic blood pres.	40	25	62	14/25	56	35
decreased conscious state	10	9	90	6/9	67	60
increased heart frequency	12	11	92	6/11	55	50

Table 2. Indicators triggering ward physician and MET calls

Note. MET = medical emergency team

4 Discussion

We described the implementation of an RRS on a surgical ward and the adherence to its afferent procedure in order to find key elements for improvement. Although the EWSs were observed in a large number, registration of those vital signs in medical records was often incomplete or missing. In 91% of the medical records of SAE patients the EWS was abnormal at least once in the three days before the SAE occurred. In case of an abnormal EWS, the MET was called on the same day in only half of the SAE patients.

Our findings of delayed or absent MET calls in over 50% are of concern, since studies showed an association between MET consult delays and SAEs ^[10, 14-17, 25]. Regular monitoring of vital signs is the first and foremost step of the afferent procedure for detecting critically ill patients. Our data show that nurses' knowledge of the EWS was adequate. Also complete EWS recordings in 80%-90% of the patient charts, depending on time of day, was acceptable. These results can probably be attributed to the multifaceted implementation strategy that we adopted. In the literature, initial simulation training ^[26-28], knowledge of the warning criteria and reinforcement regarding the warning protocol have been identified as effective ways of introducing or improving the use of an RRS ^[26, 29]. However, there was a lower observation frequency at night time compared with daytime. This fact has been shown by others ^[30]. To minimize sleep disturbances, nurses may be reluctant to observe EWSs during the night. Even though there is no international consensus concerning the frequency at which EWS observations should be made, a time interval of 12 hours may be too long ^[31]. Furthermore, less EWS values were copied from the daily patient charts in the medical records and often without an exact time indication. A patient's vital signs history should be easily accessible for clinicians ^[31]. This information is of importance in order to interpret actual vital scores.

Both nurses and ward physicians were less likely to call for help on days -2 and -1 compared with the day of the SAE itself. This may be partly explained by the fact that some patients were temporarily stabilized after a ward staff intervention. Possible other explanations for our findings are that ward staff underestimated the patient's risk of further deterioration. Most ward physicians are juniors and often lack the knowledge and experience to recognize medical emergency situations ^[32]. As only 5% of the ward physicians attended the one-day training program, this may certainly play a role. In contrast, ward staff may have felt that they were able to handle the situation by themselves ^[33, 34]. For example, Pantazopolous (2012) found that nurses with a higher level of education or who attended a resuscitation course were less likely to call for help ^[35]. Furthermore, ward staff may have felt uncertain to call for help even when the patient met the warning criteria ^[34]. Nurses often rely on other nursing team members instead of procedures when making their decisions ^[36, 37]. Nurses' and ward physicians' uncertainty increases when the attending ward physicians or MET do not expect them to follow procedures too rigorously ^[27, 33, 38, 39], or when they get mixed messages from their leaders when asking for help ^[40, 41].

To improve timely MET consultations, the next step could be to allow nurses to call the MET directly. However, this would undoubtedly result in an increased workload for the MET. For example, a study using almost the same warning criteria, found that 18% of all general ward patients showed abnormal scores at least once during admission ^[42]. This would result in MET calls in almost one out of five admitted patients. Moreover, research has shown that ward physicians prefer to be called first and nurses prefer to call the responsible ward physician, before calling the MET ^[9, 33, 41, 43, 44], thereby involving ward physicians in the treatment of the patient at risk.

The low calling rate in case of a decreased systolic blood pressure of < 90 mm is remarkable. Even though changes in systolic blood pressure alone do not predict adverse events ^[45, 46], a decreased systolic blood pressure together with a decrease in urinary output, and/or respiratory changes and/or a decrease in consciousness is associated with a higher risk of death, as is a decreased systolic blood pressure with an abnormal blood gas analysis ^[46, 47]. The low calling rate was also seen in case of decreased oxygen saturation and an increased heart rate. A decreased oxygen saturation of 90% or lower and an increased heart rate of > 120 per minute are both associated with 5%-10% mortality, whereas a heart rate of > 150 per minute is associated with 20% mortality ^[46]. A timely response on these abnormal vital signs is therefore of importance.

4.1 Key elements for improvement

First, in order to increase accessibility for clinicians to patients' vital signs history, documentation of the observed vital signs into the medical records is needed.

Second, delays in calling for help when abnormal EWSs are observed should be minimized. Ward physicians play a crucial role and should encourage nurses to call them immediately when vital signs are abnormal, and they themselves should be encouraged to call the MET immediately if the patient's condition is critical or if the patient does not stabilize after initial treatment. To accomplish this, interdisciplinary team training on how to interact and manage unexpected critically ill patients may be helpful to improve collaboration. However, this training alone will probably not suffice since Fuhrmann (2009) showed that a one-day simulation based multi-professional training of staff did not affect staff awareness of patients at risk on the wards ^[42]. Consensus of shared perceptions regarding patient safety norms and behaviors by the ward staff is a premise for patient safety and successful quality improvement interventions ^[48]. This implicates that training programs concerning critically ill patients should be team oriented and integrated in a broader safety intervention program ^[49]. In addition, support by management facilitates activation of rapid response teams ^[43]. Thus, leadership is also an important component of implementation strategies for improving patient safety norms and behaviors.

Third, since the ward staff was less likely to call for help in case of a decreased systolic blood pressure, decreased oxygen saturation and an increased heart rate, the introduction of the aggregated, weighted parameter "track and trigger" system (AWTTS) ^[50] may be considered as an aid to better interpret the deviations of one or more vital signs. The AWTTS allocates points to the vital parameters in a weighted manner. Since higher scores are associated with worse outcomes ^[51], the use of an aggregated system may convince ward nurses and ward physicians to call for help if the score increases.

4.2 Limitations of the study

First, since this study took place in one surgical ward of a Dutch university hospital, the relevance for other settings is unclear, although afferent limb failure is a frequently reported problem. Second, although we included many patient days at risk, our sample included only 101 SAEs. Third, due to the retrospective character, we probably missed some observed, but not recorded abnormal EWS occurrences. In addition, since exact time indications were often missing along with recorded abnormal EWSs, timelines were defined in days on which ward physicians and MET were called following an abnormal EWS observation.

5 Conclusions

Use of a tailored multifaceted strategy for implementation of the RRS, resulted in sufficient monitoring of vital signs by ward nurses. However, the afferent limb showed deficiencies in documentation of vital signs in the medical records and calls for help by the nurse and the ward-physician in case of observed abnormal EWSs. Our initial implementation strategy was primarily aimed at the nurses, future implementation strategies should be aimed at the interdisciplinary ward team.

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Contributors

All contributors planned the study, FS, AR and MB participated in data acquisition. FS conducted the analyses and drafted the manuscript with input of all contributors.

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